

Product Acceptance Service

Guidance Note - How to decide what needs product acceptance

Purpose

The purpose of this document is to provide guidance on categories of typically approved items and also those items that do not require approval. These lists of items are non-exhaustive and additional guidance may be required from the Network Rail Product Acceptance Team or relevant Engineering function if items are not included.

The Network Technical Head of each Engineering function or delegate is responsible for deciding on the criticality of items within their asset ownership. If it is perceived that there is potential additional risk associated with a product or its use, an uncontrolled categorisation assigned within this document may be inappropriate. In these circumstances the Engineering function will determine the acceptance route and assessment criteria.

This document is intended to be read in conjunction with NR/L2/RSE/100/05 – Product Acceptance and change to Network Rail operational infrastructure.

All new items and changes to approved products or systems are required to be submitted via the Product Acceptance application form and will be assessed on receipt to determine their criticality, alignment against Engineering and Commercial strategy and/ or acceptance route.

Definitions

"Operational" means any product / plant / equipment used to directly control, monitor, support and power the railway. In case of uncertainty the Product Acceptance team or relevant Network Rail Technical Authority or Network Rail Telecommunications (NRT) Engineering function shall be consulted.

"Controlled" means catalogue numbers for which Network Rail Engineering asserts control. For any items falling into this category, it is mandatory that the product acceptance process is followed as explained in NR/L2/RSE/100/05 and this document.

"Uncontrolled" means catalogue numbers for which Network Rail Engineering asserts no control. Products in this category are low risk items that <u>do not</u> require acceptance or the submission of a Product Acceptance application. The issuing of uncontrolled numbers is managed by the Network Rail Catalogue Management Team within Route Services.

"Controlled - Limited Criticality" means that the item may be approved without further assessment on the basis that it is of low safety criticality proven to meet national standards (BSI's etc). These may be COTS products that are not going to be used in a way that differs from their originally intended use. It is mandatory that the product acceptance process is followed as explained in NR/L2/RSE/100/05 as these items require controlled catalogue numbers and may require certification if the asset owning function determines the need (i.e. for setting conditions of use in operational infrastructure environments).

This category is for products are used to support or provide low safety criticality services. Where these products are COTS, the Lead Reviewers will check their

British or International standards which these products have declared compliant with to attain their CE or UKCA certifications, to make sure they align with Network Rail's intended use and deployment locations. Where these products are built to Network Rail's specifications, the Lead Reviewers will check compliance with the applicable Network Rail specification.

"**DFR**" means Design For Reliability (refer to standard NR/L2/RSE/0005 for further details).

Table of products to ascertain whether acceptance is required or not

Prod	uct Group	Asset Owning Discipline	Examples				Affe	ected er Bu	Engi sines	neeri ss Fu	ng di nctio	scipl ns	ines (or		DFR	Comments
				Controlled	Uncontrolled	Controlled limited criticality	Signalling	Electrification	Plant	Telecoms	Track	၁೪ಽ	ЕМС	Ergonomics	Occupational Safety	DFR Review	
	Infrastructure	SIGNALLING &	■ Train Detection system														
	components railway	LEVEL CROSSINGS	Track circuits (including Level crossings)	√									✓			√	
	specific or for which there		- Axle counters	✓									√			√	
	are no British or European		- Treadles	√									√			✓	
	Standards applicable		Lineside Signals, Indicators & Signs														
	• •		- Colour Light signals	√									√			✓	
			- Point indicators/Junction indicators/Route/ Speed indicators	√									~			√	
			■ Point Operating Equipment	√								√	√			✓	
			Electronic & Mechanical Interlocking systems	√									√			√	
			■ Signal Control Systems														
			- Signal Control Panel	√									√			√	
			- IECC Signal Control & Indication equipment	√									√			√	
			- Traffic Management Systems	√									√			√	
			Train Describers, including Mechanical and Electronic	√									✓			√	
			- Transformers	√				√					√			√	

Product Group	Asset Owning Discipline	Examples			1	Affe	ected er Bu	Engi	neeri s Fui	ng di nctio	scipl ns	ines	or		DFR	Comments
			Controlled	Uncontrolled	Controlled limited criticality	Signalling	Electrification	Plant	Telecoms	Track	S&C	ЕМС	Ergonomics	Occupational Safety	DFR Review	
		- UPS's	√				√		•			√		<u>-</u> _	√	
		- TJ's (Transformer Rectifiers)	√				√					√			√	
		Train Protection systems														
		- AWS & TPWS	√									√			√	
		 Event Logging & Condition Monitoring 														
		- Loggers	√									√			√	
		- Sensors	✓									√			√	
		- Routers/ Switches	✓						√			√			√	
		 Location Cases 														
		- DIS Boxes	✓									√			√	
		 Confined spaces/ restricted access cases. 	√												√	
		 Infrastructure specific relays 														
		- Miniature e.g. 930, 941, 966 F10	√												√	
		- Large Plug-in B-Style	√												√	
		- BR930	√												√	
		 Sub Miniature cradle relays & PO 3000 relays for indications 	√												√	
		- Shelf Type relays	√												√	
		- DCPI	✓												√	

Product Group	Asset Owning Discipline	Examples				Affe	ected er Bu	Engi sines	neeri ss Fu	ng di nctio	scipl ns	ines (or		DFR	Comments
			Controlled	Uncontrolled	Controlled limited criticality	Signalling	Electrification	Plant	Telecoms	Track	S&C	ЕМС	Ergonomics	Occupational Safety	DFR Review	
		 Signs (As per specification of Group Standard) 		√												
		VDU's and Ancillaries														
		Commercial off the shelf products that meet specification		√												
		Signalling Cables														
		- Lineside Cabling	✓									✓			✓	
		 Internal Cabling Fibre Optic Underground (zero smoke/ low halogen). 	✓												√	
		- Ducting	√												√	
		 Level Crossing Equipment 														
		- Barriers & Booms.	√									√			√	
		- Track Circuits	√									√			√	
		- Train Detection Systems	✓									✓			✓	
		- Overlays	√									√			√	
		- Cameras	√									√			√	
		- Obstacle Detection Devices	√									✓			√	
		- Railway Specific Signs	√												√	
	TRACK	■ Track components														
		- Rail (all types)	✓												✓	

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			Controlled	Uncontrolled	Controlled limited criticality	Signalling	Electrification	Plant	Telecoms	Track	၁೪ಽ	EMC	Ergonomics	Occupational Safety	DFR Review	
		- Sleepers/ Bearers (all types)	✓												√	
		- Ballast	✓												✓	
		 Fastening Systems bolts clips Baseplates Pads Insulators 	√									√			√	
		- Joints - Mechanical - welded	√												√	
		- Buffer stops	✓												√	
		 Non Standard Track -slab track, -Longitudinal sleepers. Monitoring And Measuring 	√												√	
		EquipmentInspection systems	✓									√			√	
		- Intelligent Infrastructure	· ·									√ ·			✓	
			· ·									<i>,</i>			<i>,</i>	
		 Sensor Equipment Measurement Tools Laser Amber recorder Temperature recording 	√									▼	√		√	
		Off Track														
		- Fencing		✓												

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			Controlled	Uncontrolled	Controlled limited criticality	Signalling	Electrification	Plant	Telecoms	Track	S&C	EMC	Ergonomics	Occupational Safety	DFR Review	
		- Access		✓												
		- Crossing systems (i.e road panels)		√												
		Miscellaneous														
		- Petrol's			✓											
		- Oils			√											
		- Lubricants	✓											√	√	
		- De-icers	✓											√	√	
		- Degreasers	✓											√	√	
		- Defoliants	✓											√	√	
		Track Drainage														Drainage products are assessed as per the requirements of NR Civil Engineering Std's
		- Pipes		✓											√	
		- Connectors		√											√	
		- Catchpits		√											√	
		 Welding 														
		- Thermic welding	✓									√		√	√	
		- Alumino-thermic welding	✓									√		√	√	
		- Arc welding	✓									√		√	√	

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			Controlled	Uncontrolled	Controlled limited criticality	Signalling	Electrification	Plant	Telecoms	Track	S&C	ЕМС	Ergonomics	Occupational Safety	DFR Review	
		- SkV-E Welding	V							•		√		√	√	
		- Flash Butt Welding equipment	√									✓		✓	√	
		 Under-track Crossings (UTX) 	√												√	
	S&C (Switches &	S&C Systems														
	Crossings)	Bearers														
		- Concrete	✓												√	
		- Timber	√												√	
		- Steel Hollow	√												√	
		- Bearer Joint Systems	✓												√	
		- Under Bearer Pads.	√												√	
		Switches														
		- Alternative Rail Steels	√												√	
		- Stretcher Bars	√												√	
		- Soleplates	√												√	
		Crossings														
		- Cast Crossings (inc FBW)	√												√	
		- Part Fabricated Crossings	√												√	
		- Fabricated Crossings	√												√	

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			Controlled	Uncontrolled	Controlled limited criticality	Signalling	Electrification	Plant	Telecoms	Track	s&c	ЕМС	Ergonomics	Occupational Safety	DFR Review	
		■ Fastenings & Rail Support														
		- Pads, Insulators & Clips	√												√	
		- Baseplates	√												√	
		- Slide Baseplates	√												√	
		- Slab Track Systems	√												√	
		• POE														
		- Primary Drives	√												√	
		- Supplementary Drives	✓												√	
		- Supplementary Detectors	✓												√	
		- Drive & Connection Rods	✓												√	
		- Support Plates for Above	✓												√	
		Rail (Other)														
		- Alternative Rail Steels	✓												√	
		- Rail Joints (inc Inslated)	✓												√	
		- Other Machined Rails	✓												√	
		- Transition Rails	√												√	
		- Twist Rails	√												√	
		Miscellaneous														
		- De-railer Assemblies	✓												√	

Product Group	Asset Owning Discipline	Examples			_				neeri ss Fu			ines	or		DFR	Comments
			Controlled	Uncontrolled	Controlled limited criticality	Signalling	Electrification	Plant	Telecoms	Track	S&C	ЕМС	Ergonomics	Occupational Safety	DFR Review	
		- Blanking Plates	√												√	
		- Drive Lugs	√												√	
		- S&C Gauges	√												√	
		Trackside (on or near the line) telep within 3 meters of the track. This woul Handsets in this category typically are Lineside equipment room telephon railway boundary inside buildings incluor crossing telephone), example woul includes handsets installed in building same as concentrator system applicate Crossing telephones***: All phones Occupational crossing, User works creparts of level crossing telephone systems.	Id incle used es**: uding d be position). that a cossingems.	ude S I as p Any t REBs phone uppo re as: g, AH	SPT's, arts o elephes (but es in E rt dire sociat B Cro	Point f cond one the does quipr ct line ed with ssing.	ts Zor centra nat is not c nent I e and th a c	ne pho ator sy withir ome u Room hotlin crossir dsets	ones, ystem: n the r under is, Sul ie app ng for in this	Grou s. rail co cate b Sta blication publi s cate	orridor gories tions. ons (t	rame c, depl c track This hese , this typica	loyed side categ are n would	es. I withing telephory the sort the so	n the hone	
		Other operational telephones****: T used to make calls to and from individ Railway e.g. Signaller/ ECR staff/ Cor	luals t	hat a	re dire	ctly c	ontrib	outing								
		Operational Telecoms Trackside (on or near the line)			√	√						√	√			
		telephones* - Lineside equipment room telephones**		√												
		- Crossing telephones***	√			√						√	√		√	

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			Controlled	Uncontrolled	Controlled limited criticality	Signalling	Electrification	Plant	Telecoms	Track	၁೪၄	EMC	Ergonomics	Occupational Safety	DFR Review	
		- PETS/KETS	√									√			✓	
		- Concentrators for signallers and ECR applications	√			√						√	√		√	
		- Voice Systems/Platforms/Solutions			√											
		- Voice Media Gateway	✓									✓			✓	
		- Voice Recording equipment	√									√	√		√	
		- Other operational telephones****			√											
		Telephony switches for route control (ICC)	√			√	√					√			√	
		- Transmission Networks, including network managers and associated with operational railway services (including SDH/PDH multiplexers, IP/MPLS Routers, Protocol Converters, SFP, xDS/DWDM/Modem equipment).	✓ 			√	√					√			√	
		- Synchronisation Equipment for Frequency and Phase synchronisation (including SSU, PRC, PRTC, T-GM)	√			✓	√					✓			√	
		Synchronisation Equipment for Time of Day synchronisation (including NTP servers)		√												
		- Synchronized Ops floor Clocks			√											

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			Controlled	Uncontrolled	Controlled limited criticality	Signalling	Electrification	Plant	Telecoms	Track	၁೪ಽ	EMC	Ergonomics	Occupational Safety	DFR Review	
		 Telecoms Power 														
		- UPS (excludes associated battery) and DC Power Systems (AC or DC output, all products that are below 10kVA, single phase type)	√									√			√	
		- Battery (for controlled UPS systems)			√											
		- UPS small stand-alone			✓											
		 Radio components 	1							•	-				•	
		- GSM-R	✓			√						√			√	
		- GSM-R Dispatcher Terminal	✓			√						√	√		√	
		- Antenna Systems (including: feeders, splitters, attenuators, filters, attenuators, connectors, arrestors, Telecoms eLoran and GNSS antennas)		✓	√											
		- Station Radios														
		- Marine Radios		√												
		- Wireless services (Wi-Fi, 4G/5G, microwave, excluding corporate Wi-Fi & 4G/5G networks)	√									√			√	
		- RETB	√			✓						✓			√	

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			Controlled	Uncontrolled	Controlled limited criticality	Signalling	Electrification	Plant	Telecoms	Track	S&C	ЕМС	Ergonomics	Occupational Safety	DFR Review	
		- Radio Masts (monopoles, lattice towers, columns, antenna bracketry, Fall arrest system)		√												
		 Operational CCTV system and components 														
		 DOO Cameras 	✓			✓						√			✓	
		- DOO Monitors	✓			✓						✓			✓	
		- Non-operational non-station CCTV		✓												
		 Telecoms Infrastructure 														
		 External Telecommunications cables (fibre, jumper wire and copper) terminations and ancillaries. 	V												√	
		 Internal Telecommunications cables (fibre and copper) terminations and ancillaries, excluding copper jumper cables. 		√												
		- Copper jumper wires			√											
		- Troughing	√			√	√								√	
		Internal Cable containment products		√												
		- Equipment housings, structures and enclosures			√											

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			Controlled	Uncontrolled	Controlled limited criticality	Signalling	Electrification	Plant	Telecoms	Track	၁೪ಽ	ЕМС	Ergonomics	Occupational Safety	DFR Review	
		Telecoms logical security														
		- Firewall	√									√			√	
		- Data Diodes	✓									√			√	
		Telecoms REB access control locking systems (physical security)			√											
		Non-Operational Telecoms / SISS														
		 PA speakers (excludes sub- surface station use) 		√												
		PA control system		✓												
		- Platform Clocks		✓												
		Network equipment for SISS applications		✓												
		- CIS HMI		√												
		- CIS Displays		✓												
		- CIS HMI		√												
		CCTV (VSS) system including cameras (excludes sub-surface station use)		√												
		- CCTV (VSS) Cameras (sub- surface station use)			√											
		- PA Speakers for Sub- Surface Station use.			√											

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			Controlled	Uncontrolled	Controlled limited criticality	Signalling	Electrification	Plant	Telecoms	Track	S&C	ЕМС	Ergonomics	Occupational Safety	DFR Review	
		- PAVA Systems including for Sub- Surface Station use.			√											
		CCTV (VSS) system – all components except cameras, for Sub- Surface Station use.			√											
		 Station Management System for telecom applications 		V												
		- Help points		√												
		- Small Part Steelwork including Bolt, Adaptor Plate, Washer, Studs, Tie Rod, Spacer, Fixing clip, Nut, Clamping Plate, Retaining Cap		√												
	ELECTRIFICATION	■ 25kV AC:														
		25kV Switchgear														
		- SMOS Assemblies	√									√			√	
		- GIS Assemblies	√									√			√	
		- Containerised AIS Assemblies	✓									√			√	
		- Traditional AIS Assemblies	✓									√			√	
		- 25kV Circuit Breakers	√									✓			√	
		- 25kV Disconnectors	√									√			✓	
		- Earth Switches	√									√			✓	

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			Controlled	Uncontrolled	Controlled limited criticality	Signalling	Electrification	Plant	Telecoms	Track	S&C	EMC	Ergonomics	Occupational Safety	DFR Review	
		- Motorised & Manual Switch	✓									✓			✓	
		- Mechanisms	✓												√	
		- Critical Sensors (position feedback, gas pressures)	✓									√			√	
		- 25kV fuses	✓												✓	
		- Surge Arrestors	✓									✓			✓	
		25kV Auxiliary Equipment														
		- Harmonic Filters & Passive Components (inductors, capacitors)	√									√			√	
		- Power Quality Equipment (SVC's, SFC's etc)	√									√			√	
		- Auto-tap Changers	✓												✓	
		25kV Instrumentation														
		- Protection Class Current	√									√			√	
		- Transformers	√									√			√	
		 Protection Class Voltage Transformers 	√									√			√	
		- Other Instrument Transformers	√									√			✓	
		Single Phase 25kV Transformers														
		- Power Transformers	√									√			√	
		- Auxiliary Transformers	✓									√			√	

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		- Booster Transformers	✓									✓			✓	
		- Auto Transformers	√									√			√	
		25kV Power Distribution														
		- 25kV Bushbars	√									√			√	
		- 25kV Termination Assemblies (bushings, plugs & sockets)	√												√	
		- 25kV Cable	√									√			√	
		- 25kv Cable Joints and Terminations	√									√			√	
		- 25kV Sealing Ends	√									√			√	
		- 25kV Cable Route Accessories (cleats, straps, hangers, trough, conduits etc)	√												√	
		- Red Bonds	√									√			√	
		- Traction Return Current Bushbars	√									√			√	
		- Return Screening Conductor& Associated Accessories	√									√			√	
		- General Purpose Earth Cabling	✓									✓			\	
		Procedural Equipment														
		- Live Line Testers	√									√			√	
		- Safety Signage	✓												√	
		- Portable Earth & Poles	√									√			√	

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		Overhead Line														
		- Contact Wire	✓												\	
		- Catenary Wire	✓												✓	
		- Droppers & Jumpers	√									✓			√	
		- Insulators	√									√			√	
		- Railway Specific OLE Wires	✓												✓	
		- Neutral Sections	√									√			✓	
		- Insulated Overlaps	✓									√			√	
		- Section Insulators	√									√			√	
		- Sealing Ends	√									√			√	
		- Secondary Insulation	√									√			√	
		- Tensioning Equipment	√									√			√	
		- Support & Registration Equipment	√									√			√	
		- Conductor Beams & Associated Equipment	√									√			√	
		- APC Magnets & Equipment	√									√			√	
		■ DC TRACTION POWER:														
		DC Switchgear														
		- Traditional AIS Assemblies	√									√			√	

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		- Solid Insulated Switchgear Assemblies	√									✓			√	
		- Circuit Breakers	√									✓			√	
		- Disconnectors	√									√			√	
		- Short Circuiting Devices	√									√			√	
		- Motorised & Manual Switch Mechanisms	√									√			√	
		- Controlled Track Isolators	√									✓			√	
		- Critical Sensors (position feedback, gas pressures)	√									√			√	
		- Fuses	✓												√	
		- Surge Arrestors	✓												√	
		DC Auxiliary Equipment														
		- Impedance Bonds	✓									✓			√	
		- Track Current Relays	✓									✓			√	
		DC Instrumentation														
		- Protection Class Current Transformers	√									√			√	
		- Protection Class Voltage Transformers	√									√			✓	
		- Other Instrument Transformers	√									√			√	

Product Group	Asset Owning Discipline	Examples				Affe	ected er Bu	Engi	neeri ss Fu	ng di nctio	scipl ns	ines	or		DFR	Comments
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		Transformers & Rectifiers														
		- Power Transformers & Rectifiers	√									√			√	
		- Auxiliary Transformers	√									√			✓	
		DC Power Distribution														
		- DC Bushbars	✓									+			√	
		- DC Cable (inc rectifier return cables)	√									√			√	
		cables) - DC Cable Joints & Terminations	√									√			√	
		- DC Cable Sealing Ends	√									✓			√	
		- DC Cable Route Accessories (cleats, trough, conduit etc)	√												√	
		- Red Bonds	√									√			✓	
		- General Purpose Earth Cabling	√									√			√	
		Procedural Equipment														
		- Live Line Testers	√									√			√	
		- Safety Signage	√									√			√	
		- Conductor Rail Shields	√									✓			√	
		- Portable Earthing & Straps	V									√			√	

Product Group	Asset Owning Discipline	Examples				Affe othe	ected er Bu	Engi sines	neeri ss Fu	ng di nctio	scipli ns	ines (or		DFR	Comments
			Controlled	Uncontrolled	Controlled limited criticality	Signalling	Electrification	Plant	Telecoms	Track	S&C	ЕМС	Ergonomics	Occupational Safety	DFR Review	
		Conductor Rail														
		 Conductor Rail Connectors, Cable Terminations, Fishplates, Base Plates, Anchors 	√												√	
		- Insulators	✓									√			√	
		- Baseplates	√												√	
		AC/DC INTERFACE & SPECIFIC EQUIPMENT:														
		Interface Hardware														
		- Isolation Transformers	√									√			✓	
		- Isolation Transformers Return Current Switches	√									√			√	
		- Voltage Limiting Devices	✓									✓			\checkmark	
		- Switch Contactor Suites	√									✓			√	
		- Non Linear Resistors	√												√	
		■ 3 PHASE HIGH VOLTAGE (>1kV) AC:														
		Traction Power Switchgear														
		- SMOS Assemblies	√									√			√	
		- GIS Assemblies	√									√			√	
		- Containerised AIS Assemblies	√									√			√	
		- Traditional AIS Assemblies	✓									√			√	

Product Group	Asset Owning Discipline	Examples				Affe othe	ected er Bu	Engi	neeri s Fu	ng di nctio	scipli ns	ines (or		DFR	Comments
			Controlled	Uncontrolled	Controlled limited criticality	Signalling	Electrification	Plant	Telecoms	Track	s&c	ЕМС	Ergonomics	Occupational Safety	DFR Review	
		- Circuit Breakers	✓									√			✓	
		- Disconnectors	✓												✓	
		- Earth Switches	✓												√	
		- Motorised & Manual Switch Mechanisms	√												√	
		- Critical Sensors (position feedback, gas pressures)	√												√	
		- Fuses	✓												✓	
		- Surge Arrestors	√												✓	
		Non-Traction Power Switchgear														
		- SMOS Assemblies	✓									√			√	
		- GIS Assemblies	√									√			√	
		- Containerised AIS Assemblies	✓									√			√	
		- Traditional AIS Assemblies	✓									√			√	
		- Circuit Breakers	√									√			✓	
		- Disconnectors	√												✓	
		- Earth Switches	✓												√	
		- Motorised & Manual Switch Mechanisms	√												√	
		Critical Sensors (position feedback, gas pressures)	√												√	
		- Fuses	✓												✓	

Product Group	Asset Owning Discipline	Examples				Affe	ected er Bu	Engi sines	neeri ss Fui	ng di nctio	scipli ns	ines (or		DFR	Comments
			Controlled	Uncontrolled	Controlled limited criticality	Signalling	Electrification	Plant	Telecoms	Track	s&c	ЕМС	Ergonomics	Occupational Safety	DFR Review	
		- Surge Arrestors	✓												✓	
		Auxiliary Equipment														
		- Harmonic Filters & Passive Components (inductors, capacitors)	√									√			√	
		- Power Quality Equipment	✓									√			√	
		- Auto-tap Changers	✓									√			√	
		Instrumentation														
		- Protective Class Current Transformers	√									√			√	
		- Protection Class Voltage Transformers	√									✓			✓	
		- Other Instrument Transformers	√									√			√	
		Transformers														
		- Power Transformers	✓									√			√	
		- Auxiliary Transformers	✓									√			√	
		Power Distribution														
		- Busbars	✓												√	
		- Termination Assemblies (bushings, plugs & sockets e.g. pfisterer type, cable boxes)	√												√	
		- Cable	✓			_								_	√	

Product Group	Asset Owning Discipline	Examples				Affe	ected er Bu	Engi sines	neeri ss Fu	ng di nctio	scipl ns	ines	or		DFR	Comments
			Controlled	Uncontrolled	Controlled limited criticality	Signalling	Electrification	Plant	Telecoms	Track	S&C	EMC	Ergonomics	Occupational Safety	DFR Review	
		- Cable Joints & Cable Terminations	√												✓	
		- Cable Sealing Ends	✓												√	
		- Cable Route Accessories (cleats, straps, hangers, trough, conduit etc)	√												√	
		- General Purpose Earthing Equipment	√									√			√	
		 PROTECTION CONTROL & AUXILIARIES: 														
		Protection Control & Auxiliary Equipment														
		- Protection Relay/ Intelligent Electronic Devices (inc but not limited to distance/ impedance, bay control, feeder, unit, transformer differential) for 3 Phase Applications	✓									✓			√	
		- Protection Relay/ Intelligent Electronic Devices (inc but not limited to distance/ impedance, bay control, feeder, unit, transformer differential, frame leakage) For DC or 25kV Single Phase Applications	✓									✓			√	
		- Phasor Measurement Units (PMUs)	√									√			√	
		- Remote Terminal Units (RTUs)	√									√			√	

Product Group	Asset Owning Discipline	Examples				Affe	ected er Bu	Engi sines	neeri ss Fu	ng di nctio	scipl ns	ines	or		DFR	Comments
			Controlled	Uncontrolled	Controlled limited criticality	Signalling	Electrification	Plant	Telecoms	Track	S&C	ЕМС	Ergonomics	Occupational Safety	DFR Review	
		- SCADA Cabinets & Assemblies, Racks, Screens/ Monitors, System Routers, System VOIP elements	√						V			√			√	
		- Processors & Firmware	✓												√	
		- Analog & Digital IO Modules	√												√	
		- Switchgear Control Modules	✓												√	
		- Power Supply Equipment	✓									√			√	
		- HMI Displays	✓												√	
		- GPS Clocks & Associated Equipment	√												√	
		 Communications Modules (e.g. ethernet cards) 	√						√						√	
		- Modems	✓						✓						✓	
		- Elements	✓												√	
		- Point to Point Controllers	✓												√	
		- Remote Condition Monitoring Equipment	√									√			√	
		 Asset Management Equipment (e.g. cable theft monitors) 	√									✓			✓	
		- Master Station Equipment	✓									√			√	
		- Workstations	✓												√	
		- Control/ Operating System Software	√												√	

Product Group	Asset Owning Discipline	Examples				Affe	ected er Bu	Engi	neeri ss Fu	ng di nctio	scipl ns	ines	or		DFR	Comments
			Controlled	Uncontrolled	Controlled limited criticality	Signalling	Electrification	Plant	Telecoms	Track	၁೪ಽ	EMC	Ergonomics	Occupational Safety	DFR Review	
		- Configuration Software	✓												√	
		- Configuration Management Tools	√												√	
		- Insulation Monitors	✓												√	
		- Auto-reconfiguration Systems	✓												√	
		- Fault Locators	√												√	
		- Equipment Interfacing to Intelligent Infrastructure	✓												√	
		- Uninterruptible Power Supplies	✓												√	
		- Batteries & Battery Chargers	✓												√	
		Building Services Equipment (LVAC, air conditioners, heating, ventilation etc)	√									√			√	
		 SIGNALLING POWER SUPPLIES: 														
		Switchgear														
		- FSP 01, 02, 03 or 04 Assemblies	√									√			√	
		 650V Switchgear for Class 1 Assemblies 	✓									√			√	
		- 650V Switchgear for Class 2 Assemblies	√									√			√	
		- 230 & 400V Three Phase Switchgear	√									√			√	
		- LV AC Changeover Panels (e.g. for PSPs)	√									√			√	

Product Group	Asset Owning Discipline	Examples				Affe othe	ected er Bu	Engi	neeri ss Fu	ng di nctio	scipl ns	ines	or		DFR	Comments
			Controlled	Uncontrolled	Controlled limited criticality	Signalling	Electrification	Plant	Telecoms	Track	၁೪ಽ	ЕМС	Ergonomics	Occupational Safety	DFR Review	
		- Power Pedestals	✓									√			\checkmark	
		- Cabinets & Enclosures of Insulating Construction or for Outdoor use	✓									✓			√	
		- General Purpose Cabinets, Enclosures & Cubicles for Indoor use	√									√			√	
		- Distribution Feeder Soft-Start Equipment	√									✓			√	
		- Fixed-Charismatic Protective Devices	√									√			√	
		- Transient Voltage and Surge Suppressors	√									√			√	
		Signalling Power Transformers & Transformer Rectifiers														
		- Transformers up to 10kVA for Signalling Loads	√									√			√	
		- Transformers over 10kVA for Signalling Loads	√									√			√	
		- Transformers for non-critical Applications	√									√			√	
		- Transformer Rectifiers for Signalling Loads	√									✓			√	
		- Distribution Interface Transformer Assemblies	√									√			√	
		Signalling Power Cabling														
		- Enhanced Unarmoured Cables	√									√			√	

Product Group	Asset Owning Discipline	Examples				Affe	ected er Bu	Engi	neeri ss Fu	ng di nctio	scipl ns	ines d	or		DFR	Comments
			Controlled	Uncontrolled	Controlled limited criticality	Signalling	Electrification	Plant	Telecoms	Track	S&C	ЕМС	Ergonomics	Occupational Safety	DFR Review	
		- Cable Joints & Terminations for Enhanced Unarmoured Cables	√							-					√	
		- Conduit & Glands constituting part of the Insulation for Enhanced Unarmoured Cables	√												√	
		- Cable & Route Accessories (cleats, Trough etc)	√												√	
		- Bimetallic Cable Connectors, Crimps, Lugs	√												√	
		- Other 600/1000V Cables & Accessories	√									√			√	
		Other Signalling Power Components														
		Standby & Emergency Diesel Generator sets for Principal Supply Points	√									√			√	
		Mobile Generators for Emergency Repairs	√									√			√	
		- Earth Electrodes & Associated Components	√									√			√	
		- Earth Busbars	√												√	
		 RAIL HEATING: DNO Supplies 														
		- Isolation Transformers	√												√	
		- Switchgear	√												√	
		- Enclosures	√												√	

Product Group	Asset Owning Discipline	Examples				Affe	ected er Bu	Engi	neeri ss Fu	ng di nctio	scipl ns	ines	or		DFR	Comments
			Controlled	Uncontrolled	Controlled limited criticality	Signalling	Electrification	Plant	Telecoms	Track	S&C	ЕМС	Ergonomics	Occupational Safety	DFR Review	
		Conductor Rail Heating & Points Heating														
		- Cables	✓									√			√	
		- Heating Strips	✓									√			√	
		- Controllers	✓									√			√	
		- Transformers	✓									√			√	
		- Enclosures	√									√			√	
		OTHER LV POWER SUPPLIES:														
		Switchgear														
		- 230/400V Components	√												√	
		 Equipment & Assemblies CE Marked to the LV Directive & Conforming to BS EN 61439 General LV use 			√											
		- Fuses	✓												√	
		- Over-voltage Devices	✓												√	
		- Enclosures	✓												√	
		 ELECTRICAL POWER - OTHER: 														
		- Small Part Steelwork (SPS) including Bolt, Adaptor Plate, Washer, Studs, Tie Rod,		√												

Pro	oduct Group	Asset Owning Discipline	Examples				Affe	ected er Bu	Engi	neeri s Fu	ng di nctio	scipli ns	ines d	or		DFR	Comments
				Controlled	Uncontrolled	Controlled limited criticality	Signalling	Electrification	Plant	Telecoms	Track	s&c	EMC	Ergonomics	Occupational Safety	DFR Review	
			Spacer, Fixing clip, Nut, Clamping Plate, Retaining Cap														
			- Operational Lighting		√												
2 a	Non-railway specific	SIGNALLING	- Signalling equipment not covered by Group 1	✓ ✓												✓ ✓	Operational applications within the scope of the relevant British or European Standards and where in
	infrastructure components		- UPS (TPWS applications)	-													addition there are railway specific
	conforming to British	TRACK	- Railway ballast	√												√	requirements or risks not covered by the British or European
	or European	TELECOMS	- Equipment to be used in sub- surface stations	✓												√	Standard.
	Standards	ELECTRIFICATION	- HV Cables, Non-railway specific Earthing & Bonding components (e.g. cable terminations, red bond boxes)	√												√	
			- Electrification signage	✓												✓	
			- Battery Chargers and Batteries (including DC distribution and non-traction applications)	✓												√	
			- Low Voltage (LV) -AC Panels	✓												√	
			- 3-phase HV cabling, joints and terminations	√												√	
			Cable route materials for Electrical power use i.e. Troughing	✓												√	
			 UPS power supply conditioning equipment 	√												√	
			- DNO cubicles	✓												✓	

Pro	duct Group	Asset Owning Discipline	Examples							neeri			ines d	or		DFR	Comments
		Discipline					otne	er Bu	sines	ss rui	ictio	ns					
				Controlled	Uncontrolled	Controlled limited criticality	Signalling	Electrification	Plant	Telecoms	Track	S&C	EMC	Ergonomics	Occupational Safety	DFR Review	
			- UPS (above 10kVA rated)	√									√			✓	
			- Electronic Protection Relays (EPR) for principle Supply Point (PSP) applications	√												√	
			- Insulation Monitors	✓												√	
			- Non-railway specific overhead line conductors & wires	√									√			√	
			- Pilot Cables	√												✓	
			- Generators	√												✓	
		PLANT	- Raise/lower Lighting columns			✓											
			- CCTV winches			√											
2 b	Operational applications	SIGNALLING	- Mileposts		✓												Operational applications within scope of the relevant British or
	within scope of	ELECTRIFICATION	- OLE Structure plates		√												European Standards
	the relevant British or		- Eyebolt for lifting Impedance Bond		√												Note: HV Cables to BS Standards for subsurface station (also known
	European Standards		- Cable Identification sleeves		✓												as section 12 area) applications do require acceptance.
	(Excludes: Equipment to		Low Voltage (LV) Signalling Power Supply cables		√												ac require deseptances.
	be used in a sub-surface		- Power Distribution systems		√												
	station and		- Transformer Specific relays		√												
	telecoms operational cables, HV		Cable types to BS5467 and BS6724 (Cu or Al) either with additional marking or without		√												

Pro	duct Group	Asset Owning Discipline	Examples				Affe	ected er Bu	Engi	neeri ss Fu	ng di nctio	scipli ns	ines d	or		DFR	Comments
				Controlled	Uncontrolled	Controlled limited criticality	Signalling	Electrification	Plant	Telecoms	Track	s&c	ЕМС	Ergonomics	Occupational Safety	DFR Review	
	(>1kV) and DC traction feeder		- 400V & 230V LV cabling		√												
	cables, signalling		 Earth cabling (below 1kV applications) 		√												
	power supply transformers)		Lighting control kiosk and switchgear		√												
3 a	Non-railway specific	TRACK	- Sealing Ring for Track Drainage		√												Intended to be used as an alternative to a component that
	standard building materials	S&C SYSTEMS	A standard Bolt to replace a missing bolt in a point Assembly	√												√	is already accepted under Group 1 or 2a product
	conforming to recognised		- Washer for use on RT60 S&C	√												√	
	British or	ELECTRIFICATION	- Fuses	✓												√	
3 b	European Standards	VARIOUS	- Screws, nails, cement, bricks, shovel, pliers, studs		√												Any application not covered by 3a
4 a	Powered tools and		- TI21 frequency selective meters	√									√			√	Intended to be used on a Group 1 or 2a product that could be
	equipment		- Simulators	√												√	adversely affected if the tool or equipment is not fit for
			- Rail drills	√					√		✓			✓		V	purpose
			- CAT scanning equipment	√			√		√				✓			√	
			- Rail stressing equipment	✓					√		✓			✓		✓	
			- Test equipment			√										√	
			- Diagnostic tools			√										√	
			- Design tools			√										√	

Product G	Group	Asset Owning Discipline	Examples		_		Affe oth	ected er Bu	Engi sines	neeri s Fu	ng di nctio	scipli ns	ines d	or		DFR	Comments
				Controlled	Uncontrolled	Controlled limited criticality	Signalling	Electrification	Plant	Telecoms	Track	s&c	ЕМС	Ergonomics	Occupational Safety	DFR Review	
			- Data loggers and Condition monitoring equipment			<i>√</i>				•	•					√	
			- Drop shunt boxes	√												√	
			- Measuring Probe			√										√	
			- Thermometers			√										√	
			- Multimeters			√										√	
			- Insulation testers			√										√	
			 Protection relay injection test sets 	√												√	
			Pressure test sets for cable/switchgear testing + accessories	√				√								√	
			Trip coil testers and TPWS meter	√			√									√	
4 b		PLANT	- Grinders (hand tool type & pedestal)		√												Proprietary equipment which is not railway specific or not
			- Static Lamp		√												covered by Group 4a
			- Total Station instruments (Theodolites)		√												
			Laser Scanners (scanning or mapping)		~							_		_			
		VARIOUS	Thermometers, Multimeters and Insulation testers not intended for use on a Group 1 or 2a product		√												
		SIGNALLING	- AWS S&P indicator	✓												√	

Pro	duct Group	Asset Owning Discipline	Examples							neeri ss Fu			ines d	or		DFR	Comments
				Controlled	Uncontrolled	Controlled limited criticality	Signalling	Electrification	Plant	Telecoms	Track	S&C	ЕМС	Ergonomics	Occupational Safety	DFR Review	
5 a	Non-powered tools	TRACK	- Track gauge	√				_					_	_		7	Intended to be used on a Group 1 or 2a product that could be
a	and equipment	ELECTRIFICATION	OLE Live Line indicator/testers/gauges/tools	√												√	adversely affected if the tool is not fit for purpose
			- OLE Height & Stagger Gauges	√												√	
		PLANT	lifting accessories when used on Group 1 or 2 products	√										√	✓	√	
			- Non-proprietary insulated tools	√										√	✓	√	
			- Tensionmeter	✓				√							✓	√	
			- OLE Height sticks	✓				√							✓	√	
			Insulated tools for use on or in the vicinity of DC Conductor Rail, Ladders used near live OLE	√				√						✓	√	√	
5 b			- DC Ramper		√												Any application not covered by Group 5a
b			- Rachet Podger Tools		√												Generally, these do not require
			- Hand Tools (Hammers, screwdrivers, spanners, shovels).		√												acceptance however section 5a will apply in cases where their use/application will directly affect
			- Proprietary Laser Distance Measuring device		√												the performance of the railway.
			- Measuring Wheels		√										-		
			- Proprietary Lifting accessories e.g. Hooks, Chains Slings		√												
			- Insulated tools		✓												

Pro	duct Group	Asset Owning Discipline	Examples				Affe	ected er Bu	Engi	neeri ss Fu	ng di nctio	scipl ns	ines	or	I	DFR	Comments
				Controlled	Uncontrolled	Controlled limited criticality	Signalling	Electrification	Plant	Telecoms	Track	2&C	EMC	Ergonomics	Occupational Safety	DFR Review	
			- Torque wrench			√											
			- Temporary pulleys		√												
			 Ladders not being used near live OLE 		√												
			- Depot only Tools (mechanical & electrical)		✓												
		VARIOUS	- Personal Protective equipment (PPE)		√												
			- Fencing		√												
6	On Track Plant	PLANT	OTP (On Track Plant)														Automated installation, maintenance, inspection or
а	(OTP) - Road Rail		- Sleeper Laying Machine	✓									✓	√	√	√	monitoring of a Group 1 or 2a
	Vehicles (RRV) and		- S&C unit lifting equipment	✓									√	√	√	√	- product
	Rail [′]		- Mobile Flash butt Welder	✓							√	√	√	√	√	√	
	Mounted Maintenance		- Sleeper Adjuster	√							√		✓	√	√	√	
	Machines (RMMM)		- Clipping Machine	√							√		✓	√	√	√	
	and		- Rail Lifter	√							√		√	√	✓	√	
	associated attachments		- RRV Attachments (Augers, Profile Blades, Ballast Excavator, Ballast Ploughs, Bowsers, Buckets, Ballast Plough, Cable Drum Carriers, Chains & Slings, Compactor Plates, Flails, Grabs, Hydraulic Breakers, Knuckle Boom	✓									~	√	✓	√	

Pro	duct Group	Asset Owning Discipline	Examples				Affe	ected er Bu	Engi	neeri ss Fu	ng di nctio	scipl ns	ines d	or		DFR	Comments
				Controlled	Uncontrolled	Controlled limited criticality	Signalling	Electrification	Plant	Telecoms	Track	S&C	ЕМС	Ergonomics	Occupational Safety	DFR Review	
			Cranes, Piling Hammers & Heads, Quick Hitch, Ripper Tooth, Rotators, Scarifiers, Hand Tampers, Thimbles, Tilt Rotators, Trailers, Tree Cutting Head, Vacuum Lifter, Vacuum Excavator, Wood Chipper)								•						
			- MEWP Attachments	√				√						√	√	√	
6 b			- Tilting Wagons	✓										✓	✓	√	Transporting or moving people or other equipment, or
			- Rail Head Scrubbing Machine	✓							✓			✓	✓	√	other application not covered by Group 6a
			- Mobile Elevated Work Platforms (MEWP)	✓				✓						<	√	√	Group ou
			- Powered trolleys	√										√	√	√	
			- RRV fitted with a flail (note: the flail is a Group 4b product)	√							√			√	√	√	
			Portable Road Rail Access Platform	√							√			√	√	√	
			- Track Access System	√							√			√	√	√	
7	On Track		OTM (On Track Machines)														Automated installation,
	Machines (OTM) and		- Ballast Cleaners	√							√			√	√	✓	maintenance, inspection or monitoring of a Group 1 or 2a product
	associated attachments.		- Tampers	√							√			√	√	√	product
	attaorinonts.		- Rail Cutting Machine	√							✓			✓	√	√	
			- Excavation Machine	√							√			√	√	√	
			- Maintenance Machines (MMT)	✓							√			√	√	√	

Pr	oduct Group	Asset Owning Discipline	Examples				Affe	ected er Bu	Engi	neeri ss Fu	ng di nctio	scipl ns	ines (or		DFR	Comments
				Controlled	Uncontrolled	Controlled limited criticality	Signalling	Electrification	Plant	Telecoms	Track	S&C	ЕМС	Ergonomics	Occupational Safety	DFR Review	
8	Manually propelled		Manually propelled powered Equipment														Intended to be used on a Group 1 or 2a product that
	powered Equipment		- Rail grinders	✓							✓		✓	✓	✓	✓	could be adversely affected if the tool is not fit for purpose
	Equipment		- Cutters	√							√		√	√	✓	√	
			- Profile grinder	✓							√		√	√	√	√	
			- Rail Head Cleaner	√							√			√	✓	√	
			- Track Jack	✓							√			√	✓	✓	
			- Rail Stressing equipment	✓							√			√	√	✓	
			- Rail Clip remover	✓							√		✓	✓	✓	✓	
			- Sleeper Replacer	✓							√		√	√	√	✓	
			- Rail Welding Machine	✓							✓		✓	✓	✓	√	
9	Manually propelled non		Manually propelled powered Equipment	✓												√	
	powered Equipment		- Hand trolleys	√										√	✓	√	
	Equipment		- iron man trolley	√										√	√	√	
			- rail skates etc	✓										√	√	√	
1	Non rail mounted plant	-	Non Rail Mounted Plant or Machinery														Any plant or machinery used on and do not have the potential to infringe on or damage the
	or machinery		- Chippers			√											infrastructure (but that is not rail
			- Dozers			✓											mounted)
			- Cranes			√											

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Pr	oduct Group	Asset Owning Discipline	Examples				Affe othe	ected er Bu	Engi sines	neeri s Fu	ng di nctio	scipl ns	ines (or		DFR	Comments
				Controlled	Uncontrolled	Controlled limited criticality	Signalling	Electrification	Plant	Telecoms	Track	S&C	ЕМС	Ergonomics	Occupational Safety	DFR Review	
			- Scaffold towers			✓											
			- Mower			√											
1	Equipment fitted to a		-Equipment fitted to a Non Rail Mounted Plant or Machinery														Intended to be used on a Group 1 or 2a product that
	Group 10		- Lifting Beam	√											√	√	could be adversely affected if the equipment is not fit for purpose
	product.		- Crane Fork	√											✓	√	

Abbreviations

AWS = Advanced Warning system

CAT = Cable Avoidance tool

DC = Direct current

AC = Alternating current

CCTV = Closed Circuit television

CIS = Customer Information system

DCPI = DC Polar Moving Iron

DFR = Design For Reliability (refer to standard NR/L2/RSE/0005 for further details).

DNO = Distribution Network operator

DOO = Driver-only operation

FTN = Fixed Telecoms network

FPL = Facing Point Lock

GSM-R = Global system for Mobile railway

HV = High voltage

IC = Interoperable Constituent

IECC = Integrated Electronic Control centre

ISDN = Integrated Services Digital network

kV = Kilo volts

LV = Low voltage

OLE = Overhead Line equipment

OTM = On Track Machine

OTP = On Track Plant

PA = Public Address

PETS = Public Emergency Telephone system (PETS)

SCADA = Supervisory Control and Data acquisition

SkV-E = Welding process

S&C = Switches & Crossings

SISS = Station Information & Security Systems

SQA = Supplier Quality Assurance

TA = Technical Authority

TI21 = Type of track circuit

TPWS = Train Protection Warning system

VDU = Video Display unit

UPS = Uninterruptible Power supply (UPS)

VOIP = Voice Over Internet protocol

DWDM = Dense wavelength division multiplexing

SFP = Small Form-factor Pluggable (optical transceiver module)

DSL = Digital Subscriber Line

MODEM = Modulator-Demodulator